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I.
INTRODUCTION

Plaintiff Darryl Cotton filed this 42 U.S.C. § 1983 action against Judge Wohlfeil after the California Court of Appeal dismissed his appeal from the judgment that was entered in *Cotton I*—the state court civil action over which Judge Wohlfeil presided. Plaintiff requests this Court to vacate the judgment in *Cotton I*, order a new trial, and preclude Judge Wohlfeil from presiding over the new trial. Judge Wohlfeil filed a motion to dismiss the First Amended Complaint ("FAC") under judicial immunity, Eleventh Amendment immunity, the *Rooker-Feldman* doctrine, and for failure to state a cognizable claim. (Memorandum of Point and Authorities in support of Motion to Dismiss First Amended Complaint with Prejudice by Defendant Judge Joel R. Wohlfeil ("MTD"), ECF No. 50-1.)

While Plaintiff's Opposition (Darryl Cotton's Opposition to Judge Joel R. Wohlfeil's Motion to Dismiss First Amended Complaint and Request for Sanctions ("Opp'n"), ECF No. 55) is emotionally fueled and showcases his animosity towards Judge Wohlfeil,<sup>2</sup> it fails to overcome these fatal defects. The Opposition does not dispute, and in fact confirms, that this action solely arises from the rulings Judge Wohlfeil made in his capacity as a state court judicial officer and that the instant matter seeks to vacate the final judgment entered in *Cotton I*. Accordingly, and notwithstanding Plaintiff's frivolous and legally erroneous assertions to the contrary, this action is barred as a matter of law as against Judge Wohlfeil and should be dismissed with prejudice.

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<sup>&</sup>lt;sup>1</sup> The California Court of Appeal dismissed the appeal on February 11, 2020 and a remittitur was issued on May 14, 2020. (Defendant Judge Joel R. Wohlfeil's Request for Judicial Notice in Support of Motion to Dismiss First Amended Complaint with Prejudice, Ex. D, ECF No. 50-2.) Although the instant action was filed in February 2018, Judge Wohlfeil was first named in this action via the First Amended Complaint, filed on May 13, 2020, and was not served with the summons and complaint until December 16, 2020. (Plaintiff's First Amended Complaint, ECF No. 18.)

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<sup>&</sup>lt;sup>2</sup> Plaintiff refers to Judge Wohlfeil as "an idiot" and proclaims he "hate[s] Wohlfeil and wish[es] him criminal prosecution." (Opp'n, ECF No. 55.)

Finally, Plaintiff's request for Rule 11 sanctions, which he included in the Opposition, is procedurally and substantively defective. It is procedurally improper because, among other things, Plaintiff was required to but failed to file his sanctions request as a separate motion and serve a copy on Judge Wohlfeil at least twenty-one days before filing his request. It is substantively without merit because it is premised on his assertion that Judge Wohlfeil's motion to dismiss is frivolous, which it is clearly not.

II.

#### **ARGUMENT**

### A. Absolute Judicial Immunity Applies in this Action.

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The only two instances in which judicial immunity is overcome is where the judge "acts in the 'clear absence of all jurisdiction,' [citation], or performs an act that is not 'judicial' in nature. [Citation.]" *Ashelman v. Pope*, 793 F.2d 1072, 1075 (9th Cir. 1986) (en banc). As discussed in the MTD, this action arises from Judge Wohlfeil's statements and rulings in *Cotton I*, which were judicial in nature, while he was acting within his jurisdiction. (MTD, ECF No. 50-1 at 5-7.) The Opposition does not dispute this. Instead, relying on *Pulliam v. Allen*, 466 U.S. 522, 523 (1984), Plaintiff contends that judicial immunity does not apply to actions seeking declaratory or prospective injunctive relief. (Opp'n, ECF No. 55 at 6-7.)

Contrary to Plaintiff's assertion, *Pulliam* does not preclude the application of the doctrine of absolute judicial immunity to this case. *Pulliam* was effectively abrogated by Congress when it enacted the Federal Courts Improvement Act of 1996, Pub.L. No. 104–317, 110 Stat. 3847 (1996), which amended 42 U.S.C. § 1983 to provide that in "any action brought against a judicial officer for an act or omission taken in such officer's judicial capacity, injunctive relief shall not be granted unless a declaratory decree was violated or declaratory relief was unavailable." 42 U.S.C. § 1983; *see also La Scalia v. Driscoll*, No. 10-CV-5007, 2012 WL 1041456, at \*7 (E.D.N.Y. Mar. 26, 2012); *Caldwell v. Downs*, No. 2:17-CV-1250, 2017 WL 2654819, at \*2 (E.D. Cal. June 20, 2017); *Krupp v. Todd*, No. 5:14-CV-0525, 2014 WL 5165634, at \*4 (N.D.N.Y. Aug.

10, 2014).

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Here, injunctive relief is not available to Plaintiff because the FAC fails to allege that a "declaratory decree was violated or that declaratory relief was unavailable." 42 U.S.C. § 1983. For purposes of § 1983, the phrase "declaratory relief" refers to the litigant's ability to appeal the judge's order. *See La Scalia*, 2012 WL 1041445 at \*7; *Krupp*, 2014 WL 4165634 at \*4; *LeDuc v. Tilley*, No. 3:05-CV-157, 2005 WL 1475334, at \*7 (D. Conn. June 22, 2005) (citing cases). Here, Plaintiff availed himself of this remedy by filing an appeal in the state court action. While he may not have succeeded in obtaining declaratory relief, he has not and cannot allege that such relief was unavailable. *See La Scalia*, 2012 WL 1041456, at \*7.

Finally, even if the amendment to § 1983 does not bar declaratory relief actions against judges, such claims would still need to be prospective in nature. *See Justice Network Inc. v. Craighead Cnty.*, 931 F.3d 753, 763 (8th Cir. 2019); *Krupp*, 2014 WL 5165634, at \*4. Here, the declaratory relief Plaintiff seeks is retrospective in that he requests this Court to void the judgment in *Cotton I* and order a new trial. *Krupp*, 2014 WL 5165634, at \*4.

Plaintiff erroneously asserts the relief he is seeking is prospective because he is requesting this Court to "preclude [Judge Wohlfeil] from continuing to preside over *Cotton I*..." (Opp'n, ECF No. 55 at 7.) However, Plaintiff's assertions are nonsensical and completely speculative and improbable given that the judgment in *Cotton I* is final. Moreover, because this request "is intertwined with asking the court to declare that a past constitutional or statutory violation occurred," it is barred by the doctrine of absolute judicial immunity. *Krupp*, 2014 WL 5165634, at \*4; *see also La Scalia*, 2012 WL 1041456, at \*8 (requests "for judgment declaring that [a defendant's] past conduct violated federal law are retroactive in nature and, thus, are barred by the doctrine of absolute judicial immunity") (quoting *B.D.S. v. Southold Union Free School Dist.*, Nos. CV-08-1319, CV-08-1864, 2009 WL 18775942, at \*20 (E.D.N.Y. June 24, 2009).)

Accordingly, Plaintiff's contention that judicial immunity does not apply to this

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action is meritless and Judge Wohlfeil's motion to dismiss should be granted, with prejudice.

### B. The Eleventh Amendment Bars This Action Against Judge Wohlfeil.

As set forth in Judge Wohlfeil's moving papers, Eleventh Amendment immunity bars this suit. (MTD, ECF No. 50-1 at 7-8.) Plaintiff seeks to avoid this fatal defect on the basis that he is seeking prospective injunctive relief. (Opp'n, ECF No. 55 at 7.)

Although the Eleventh Amendment "does not generally prohibit suits seeking only prospective injunctive or declaratory relief," "a plaintiff may not use the [Eleventh Amendment] to adjudicate the legality of past conduct." *Summit Medical Associates, P.C. v. Pryor*, 180 F.3d 1326, 1337 (11th Cir. 1999). Thus, to avoid the bar provided by the Eleventh Amendment, Plaintiff must allege facts and show that the relief sought in the FAC concerns "ongoing and continuous violations of federal law" by Judge Wohlfeil. *Id.* As set forth in the preceding section, Plaintiff has not and cannot make this showing because the relief he seeks is purely retrospective. Accordingly, Eleventh Amendment immunity bars this action.

# C. Rooker-Feldman Bars this Action Against Judge Wohlfeil.

Although Plaintiff underwent a substantial effort in the Opposition to convince this Court that the *Rooker-Feldman* doctrine is inapplicable, all of his arguments are meritless.

The FAC makes clear that this action is a de facto appeal from the state court judgment. As expressly stated in paragraph one of the FAC, this federal lawsuit "is a collateral attack on a state court judgment issued by Judge Joel R. Wohlfeil in *Cotton I*." (See FAC, ECF No. 18 at ¶ 1.) In his "collateral attack," Plaintiff seeks to "void" and "vacate" the judgment in *Cotton I*. (See FAC, ECF No. at  $\P$ ¶ 17, 146, 150, p. 18:17.)

The Opposition also makes clear that the instant proceedings are based on issues "already litigated in *Cotton I*," including issues of contract interpretation and judicial bias. (Opp'n, ECF No. 55 at 10:4, 11:23-24.) Plaintiff acknowledges that he is seeking this Court's review on the issues of legality of contract and mutual assent, which were

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adjudicated in *Cotton I* (Opp'n, ECF No. 55 at 2:1-5), as well as issues raised in Plaintiff's Verified Statement of Disqualification ("VSD") (Opp'n, ECF No. 55 at 2:16-3:2.) In fact, many of the allegations in the FAC are found in the VSD.<sup>3</sup> (*See* Request For Judicial Notice in Support of Darryl Cotton's Opposition to Judge Joel R. Wohlfeil's Motion to Dismiss First Amended Complaint and Request for Sanctions ("Plaintiff's RJN"), ECF No. 55, Ex. 1.)

For example, the VSD alleged that Judge Wohlfeil was biased against him based on his rulings and decisions concerning the "Confirmation Email" and "November Document," which are both at issue in the FAC. (See Plaintiff's RJN, ECF No. 55, Ex. 1 at 31-34; FAC, ECF No. 18 at ¶¶ 105-107, 114, 134.) Additionally, as in the FAC, the VSD alleged that "Judge Wohlfeil has stated...he does not personally believe Weinstein and Mrs. Austin are capable of acting unethically..." (Plaintiff's RJN, ECF No. 55, Ex. 1 at 32; FAC, ECF No. 18 at ¶¶ 14-16, 114.) Moreover, in the VSD, like the FAC, Plaintiff asserts Judge Wohlfeil's "bias/fixed opinion" was actionable and required disqualification because "Judge Wohlfeil has repeatedly and inexplicably (i) avoided addressing the obvious fraudulent scheme that Plaintiff [Larry Geraci] is engaged in via his agents in seeking to acquire a marijuana related CUP that he is prohibited from owning by law; (ii) falsely stated that he has addressed the threshold issue of contract integration when in fact he has not and has systemically refused to do so for over a year; and (iii) gotten procedural and material case-dispositive facts wrong that, coupled with his comments as to the ethics of Weinstein and Mrs. Austin, make it impossible for a third-party to believe that Judge Wohlfeil can be impartial." (Plaintiff's RJN, ECF No. 55, Ex. 1 at 33-34; FAC, ECF No. 18 at ¶¶ 14-16, 18, 24, 104-107, 114, 134.)

As the Ninth Circuit recognized, "the clearest case for dismissal based on the Rooker-Feldman doctrine occurs when 'a federal plaintiff asserts as a legal wrong an

<sup>&</sup>lt;sup>3</sup> Plaintiff has asked this Court to take judicial notice of both his VSD filed in *Cotton I* and Judge Wohlfeil's Order Striking Plaintiff's Statement of Disqualification in said case.

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allegedly erroneous decision by a state court, and seeks relief from a state court judgment based on that decision...." *Henrichs v. Valley View Development*, 474 F.3d 609, 613 (9th Cir. 2007). That is exactly the case here. Thus, as relating to Judge Wohlfeil, this federal lawsuit is plainly a de facto appeal of his actions and rulings in *Cotton I*.

Plaintiff additionally contends that *Rooker-Feldman* does not apply because the instant action was filed before the state proceedings ended. (Opp'n, ECF No. 55 at 8.) Although Plaintiff acknowledges that this action was not brought against Judge Wohlfeil until after the judgment in *Cotton I* was entered, citing *Merritt v. County of Los Angeles*, 875 F.2d 765, 768 (9th Cir. 1989), he erroneously contends that the "relationship [sic] back doctrine applies" to the *Rooker-Feldman* doctrine. (Opp'n, ECF No. 55 at 8.)

Merritt is inapposite because the Rooker-Feldman doctrine was not at issue in that case. Plaintiff fails to provide any authority on point supporting his novel theory that the relation back doctrine can be used to circumvent the application of the Rooker-Feldman doctrine. The facts are clear that Plaintiff did not name Judge Wohlfeil as a party in this action and serve him with the summons and FAC until after the conclusion of the Cotton I appeal. To propose that the Rooker-Feldman doctrine does not apply in this matter simply is unsupported and undermines the purpose and intent of the Rooker-Feldman doctrine, which is to preclude de facto appeals.

Plaintiff next contends, erroneously, that *Rooker-Feldman* does not apply because the judgment in *Cotton I* was obtained through extrinsic fraud. (Opp'n, ECF No. 55 at 9.) "Extrinsic fraud is conduct which prevents a party from presenting his claim in court." *Kougasian v. TMSL, Inc.* 359 F.3d 1136, 1140 (9th Cir. 2004), citing *Wood v. McEwen*, 644 F.2d 797, 801 (9th Cir. 1981). "Extrinsic fraud on a court is, by definition, not an error by that court. . . . It is, rather, a wrongful act committed by the party or parties who engaged in the fraud." *Johnson v. Athenix Physicians Grp., Inc.*, No. 3:19-CV-01888, 2020 WL 133895, at \*3 (S.D. Cal. Jan. 10, 2020).

Here, Plaintiff's allegation of extrinsic fraud is premised on Plaintiff's disputes

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with Judge Wohlfeil's decisions and alleged bias, as well as certain undisclosed relationships among the *Cotton I* participants. (Opp'n, ECF No. 55 at 1-3, 6.) However, these allegations do not support an extrinsic fraud theory because they do not demonstrate that an adverse party prevented Plaintiff from presenting his claims in state court. *See Kougasian*, 359 F.3d at 1440-41; *Johnson*, 2020 WL 133895, at \*3.

For all of the reasons stated above, the *Rooker-Feldman* bars this action against Judge Wohlfeil, and his motion to dismiss should be granted, with prejudice.

## D. The FAC Fails to State a Viable Claim Against Judge Wohlfeil.

Judge Wohlfeil's Motion to Dismiss demonstrates that Plaintiff has not alleged a viable claim for relief because a request for punitive damages is not an independent cause of action and, further, Plaintiff has not alleged facts sufficient to state a claim for relief under § 1983. (MTD, ECF No. 50-1 at 10-11.) Plaintiff does not dispute, and therefore concedes, that the claim for punitive damages fails as a matter of law.

With regard to the viability of Plaintiff's § 1983 claim, and whether he has alleged a violation of a constitutional right, Plaintiff appears to take the position that he has sufficiently alleged that his constitutional rights were violated because Judge Wohlfeil was biased against him. (Opp'n, ECF No. 55 at 13.) Although not entirely clear, it seems that Plaintiff is purporting to allege a violation of his procedural due process rights.

"A procedural due process claim has two elements: deprivation of a constitutionally protected liberty or property interest and denial of adequate procedural protection." *Krainski v. Nevada ex rel. Bd. of Regents of Nevada Sys. of Higher Educ.*, 616 F.3d 963, 970 (9th Cir. 2010) Plaintiff has not purported to identify the liberty or property interest at issue, nor has he explained how he was denied adequate procedural protections. Moreover, Plaintiff acknowledges that he filed a disqualification motion premised on Judge Wohlfeil's alleged bias (Opp'n, ECF No. 55 at 2) and, although that motion was denied, it is clear that he could have filed a writ of mandate. Cal. Civ. Proc. § 170.3(d); Opp'n, ECF No. 55 at 2; Plaintiff's RJN, ECF No. 55, Ex. 2. Because

Plaintiff fails to allege or explain why these procedures failed to provide an adequate procedural protection, he has not alleged a viable claim under § 1983.

Plaintiff's reliance on *Miofsky v. Superior Court of State of Cal., In and For Sacramento County*, 703 F.2d 332 (9th Cir. 1983) to support the proposition that he has alleged a viable § 1983 claim is misplaced. *Miofsky* does not address the issue of whether a plaintiff has alleged facts sufficient to state a claim under § 1983. Instead, it analyzes the issue of whether the district court had jurisdiction over a § 1983 claim. Specifically, *Miofsky* concerned the applicability of the *Younger*<sup>4</sup> abstention doctrine, which is not at issue in this matter. *Id.* at 338. Because Plaintiff has not alleged or shown that a viable § 1983 claim against Judge Wohlfeil exists, the MTD should be granted with prejudice.

### E. Plaintiff's Request for Sanctions is Frivolous.

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Plaintiff makes accusations in the Opposition demanding that he be awarded sanctions under Rule 11 because the MTD was filed in this action. His claims that Judge Wohlfeil and/or defense counsel are concealing or misrepresenting facts to this Court are preposterous and completely unsupported. Not only does Plaintiff's basis for sanctions lack merit, but his request is also procedurally improper. Specifically, Plaintiff failed to comply with Rule 11, which mandates that a request for sanctions must be brought in a separate motion. *See* Fed. R. Civ. P. 11(c)(2). Additionally, Plaintiff failed to adhere to the safe harbor provision in Rule 11 which provides that a motion for sanctions may not be filed until twenty-one days after it is served. *Id.* For these reasons, Plaintiff's request is procedurally defective ad his request for sanctions must be denied.

Moreover, Plaintiff does not assert any cognizable ground for bringing the Rule 11 motion for sanctions against Judge Wohlfeil and/or his attorneys. Pursuant to Federal Rule of Civil Procedure 11, an attorney submitting a pleading thereby certifies that to the best of their knowledge, information, and belief, formed after an inquiry reasonable

<sup>&</sup>lt;sup>4</sup> Younger v. Harris, 401 U.S. 37 (1971).

under the circumstances, "(1) it is not being presented for any improper purpose, such as to harass, cause unnecessary delay, or needlessly increase the cost of litigation; (2) the claims, defenses, and other legal contentions are warranted by existing law or by a nonfrivolous argument for extending, modifying, or reversing existing law or for establishing new law; (3) the factual contentions have evidentiary support or, if specifically so identified, will likely have evidentiary support after a reasonable opportunity for further investigation or discovery; and (4) the denials of factual contentions are warranted on the evidence or, if specifically so identified, are reasonably based on belief or a lack of information." The purposes of Rule 11 are to "to deter baseless filings in district court," *Cooter & Gell v. Hartmarx Corp.*, 496 U.S. 384, 393 (1990) and to deter "dilatory or abusive pretrial tactics and streamlin[e] of litigation." *Golden Eagle Distrib. Corp. v. Burroughs Corp.*, 801 F.2d 1531, 1536 (9th Cir. 1986).

Plaintiff asserts that defense counsel and/or Judge Wohlfeil are subject to sanctions because the MTD is a "sham pleading seeking to perpetrate a fraud on this Court." (Opp'n, ECF No. 55 at 5:14.) He claims sanctions are warranted because defense counsel and Judge Wohlfeil "are evil" and have conspired to deny him access to this Court "to prevent him from vindicating his due process rights to an impartial judge in state court." (*Id.* at 15:23-26; 17:1.) He asserts that sanctions are warranted because the MTD fails "to inform this Court that the *Cotton I* judgment is void" and "reflects [Judge Wohlfeil's] knowledge that he is seeking to enforce an illegal contract at the expense of Cotton's Civil Rights." (*Id.* at 15.)

Plaintiff's assertions are nothing short of frivolous. As set forth in the moving papers and herein, the arguments set forth in support of this motion are meritorious and support a dismissal of this action. The fact that Plaintiff continues to falsely contend that the *Cotton I* judgment is void and that he disagrees with the arguments made in support of the motion to dismiss does not support a sanctions request. Plaintiff's request for sanctions is meritless and must be denied.

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1 III.
2 CONCLUSION

As set forth above and in the MTD, this action against Judge Wohlfeil is precluded by judicial and Eleventh Amendment immunity as well as the *Rooker-Feldman* doctrine. In addition, the FAC fails to state a viable claim for relief against Judge Wohlfeil. Moreover, Plaintiff is not entitled to sanctions under Rule 11. Because these defects cannot be cured by way of amendment, Judge Wohlfeil respectfully requests that the Court grant the motion to dismiss, without leave to amend, and enter a judgment of dismissal, with prejudice, in his favor.

Respectfully submitted,

SUSANNE C. KOSKI

Superior Court of California, County of San Diego

DATED:

April 7, 2021

By: <u>s/ Carmela E. Duke</u>

CARMELA E. DUKE

Attorneys for Defendant, The Honorable Joel R. Wohlfeil, Judge of the Superior Court of California, County of San Diego

SUSANNE C. KOSKI, State Bar No. 176555 1 CARMELA E. DUKE, State Bar No. 270348 Superior Court of California, County of San Diego 1100 Union Street San Diego, California 92101 4 Telephone: (619) 844-2382 5 Attorneys for Defendant, The Honorable Joel R. Wohlfeil, 6 Judge of the Superior Court of California, County of San Diego 7 8 UNITED STATES DISTRICT COURT 9 SOUTHERN DISTRICT OF CALIFORNIA 10 11 DARRYL COTTON, Case No. 18-cv-00325-TWR-DEB 12 PROOF OF SERVICE Plaintiff, 13 [CivLR 5.4(c)] 14 v. 15 LARRY GERACI, et al., 16 Defendants. 17 18 I, PUI KATSIKARIS, declare that: I am over the age of eighteen years and 19 not a party to the above-referenced case; I am employed in, or am a resident of, the 20 County of San Diego, California where the mailing occurs; and my business address is: 1100 Union Street, San Diego, California. 21 22 I further declare that I am readily familiar with the business practice for collection and processing of correspondence for mailing with the United States 23 Postal Service; and that the correspondence shall be deposited with the United 24 States Postal Service this same day in the ordinary course of business. 25 On April 7, 2021, I served the following document(s): **REPLY** 26 IN SUPPORT OF MOTION TO DISMISS FIRST AMENDED 27 COMPLAINT WITH PREJUDICE BY DEFENDANT JUDGE JOEL R. 28 WOHLFEIL

by placing a true copy of each document in a separate envelope addressed to each 1 addressee, respectively, as follows: 2 Katherine L. Parker **Darryl Cotton** Assistant U.S. Attorney, Chief Civil Division 3 6176 Federal Blvd. Office of the U.S. Attorney 4 San Diego, CA 92114 880 Front Street, Room 6293 619-954-4447 5 San Diego, CA 92101 I then sealed each envelope and deposited said envelope(s) in the U.S. Postal 6 Pick up box, this same day, at my business address shown above, following ordinary business practices. 8 Additionally, pursuant to the Electronic Case Filing Administrative Policies 9 and Procedures Manuel of this Court, Section 2.d.2, service has been effected on the parties below, whose counsel of record is a registered participant of CM/ECF, 10 via electronic service through the CM/ECF system: 11 12 James D Crosby Email: crosby@crosbyattorney.com (Attorney for Defendants Larry Geraci and Rebecca Berry); 13 14 Email: jdalzell@pettitkohn.com Julia Dalzell (Attorney for Defendants Gina Austin and Austin Legal Group); 15 16 Gregory Brian Emdee Email: gemdee@kmslegal.com (Attorney for Defendant Michael Weinstein); 17 18 Laura E. Stewart Email: lstewart@wmfllp.com 19 (Attorney for Defendant Jessica McElfresh); 20 Corinne Bertsche Email: Corinne.Bertsche@lewisbrisbois.com 21 (Attorney for Defendant David Demian); 22 Katherine L. Parker Email: Katherine.parker@usdoj.gov 23 (Attorney for the United States [Defendant Judge Cynthia Bashant]). 24 I declare under penalty of perjury under the laws of the State of California 25 that the foregoing is true and correct. 26 27 Executed on April 7, 2021 28