CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

A PUBLIC DOCUMENT

#### STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

Date Received Official Use Only
E-Filed 06/02/2014 09:24:41

Eiling ID:

Ple	ease type or print in ink.			151522325
NA	ME OF FILER (LAST)		(FIRST)	(MIDDLE)
W	Malen, James E			
1.	Office, Agency, or Court			
	Agency Name (Do not use acronyms)			
	CITY OF SAN DIEGO			
	Division, Board, Department, District, if applicable		Your Position	
	Planning Commission		Commissioner	
	► If filing for multiple positions, list below or on an attachment. (Do	not use acronyn	1S)	
	Agency:		Position:	
2.	Jurisdiction of Office (Check at least one box)			
	□ State		] Judge or Court Commissioner (Statew	ide Jurisdiction)
	Multi-County	[	County of	
	X City of San Diego	[	] Other	
3.	Type of Statement (Check at least one box)			
	Annual: The period covered is January 1, 2013, through December 31, 2013		Leaving Office: Date Left/_ (Check one)	/
	-or- The period covered is/, through December 31, 2013		<ul> <li>The period covered is January 1, leaving office.</li> </ul>	, 2013, through the date of
	X Assuming Office: Date assumed / 20 / 2014		<ul> <li>The period covered is/</li> <li>of leaving office.</li> </ul>	/, through the date
	Candidate: Election Year and office source	ght, if different th	ian Part 1:	
4.	Schedule Summary			
	Check applicable schedules or "None."	► Total nu	umber of pages including this cover	page:13
	Schedule A-1 - Investments – schedule attached	🔀 Sch	edule C - Income, Loans, & Business	Positions - schedule attached
	X Schedule A-2 - Investments - schedule attached	Sch	edule D - Income - Gifts - schedule a	attached
	Schedule B - Real Property – schedule attached	Sch	edule E - Income – Gifts – Travel Pay	ments – schedule attached
	-or-	le interests on a	iny schedule	
5	Verification			
J.		CITY	STATE	ZIP CODE
	(Business or Agency Address Recommended - Public Document)		ONTE	
	DAYTIME TELEPHONE NUMBER	San Diego	CA ADDRESS (OPTIONAL)	92108-2820
			IDDILOG (UF HUIRL)	
	I have used all reasonable diligence in preparing this statement. I have	/e reviewed this	statement and to the best of my knowl	edge the information contained
	herein and in any attached schedules is true and complete. I acknow			
	I certify under penalty of perjury under the laws of the State of	California that	the foregoing is true and correct.	

Date Signed	Signature James E Whalen
(month, day, year)	(File the originally signed statement with your filing official.)

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
Altera Corp SR Global Notes	Ford Motor Credit Co Sr Notes
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bond (Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock X Other Corporate Bond (Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//// ACQUIREDDISPOSED	//// ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Electric Cap Corp GENERAL DESCRIPTION OF THIS BUSINESS	KLA Tencor Corp Sr Glbl Notes GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT         Stock       Image: Corporate Bond         (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock Sto
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
///// ACQUIRED DISPOSED	
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Omnicom Group Inc Sr Notes	Wal-Mart Stores Inc Sr Global
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT Stock X Other (Describe)	NATURE OF INVESTMENT Stock I Other (Describe)
Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More ( <i>Report on Schedule C</i> )	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More ( <i>Report on Schedule C</i> )
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

#### SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Morgan Stanley Sr Global Mtn	Bear Stearns Cos (JPM) Sr Glbl
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financial	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
X       \$2,000 - \$10,000       \$10,001 - \$100,000	X       \$2,000 - \$10,000       \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bond	NATURE OF INVESTMENT
Stock X Other Corporate Bond (Describe)	Stock X Other Corporate Bond (Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Sche	edule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
////	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Citigroup Inc Sr Global Notes	Goldman Sachs Sr Global Notes
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X       \$2,000 - \$10,000       \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Corporate Bond	_ Stock X Other Corporate Bond
(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
○ Income Received of \$500 or More ( <i>Report on Sche</i>	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/// ACQUIRED DISPOSED	ACQUIRED DISPOSED
ACQUINED DISFUSED	ACQUINED DISFOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Chevron Corp	ConocoPhillips
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Energy	Energy
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	□ \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	_ X Stock Other
(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	☐
Income Received of \$500 or More (Report on Sche	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: \_

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Total SA Spon Adr	International Paper Co
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Energy	Materials
FAIR MARKET VALUE	FAIR MARKET VALUE
<b>\$2,000 - \$10,000 \$10,000</b>	X       \$2,000 - \$10,000       \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
////	<u>03 / 27 / 14</u> / /
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Freeport - McMoran Copper	General Electric Company
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Materials	Industrials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000       X       \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other (Describe)
─────────────────────────────────────	(Describe) Partnership () Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
_ 10 / 11 / 13 / /	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
United Parcel Service- CL B	McDonalds Corp
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrials	Consumer Discretionary
	FAIR MARKET VALUE
↓ \$2,000 - \$10,000     ⊥     \$10,001 - \$100,000       ↓ \$100,001 - \$1,000,000     ↓ Over \$1,000,000	\$2,000 - \$10,000         X         \$10,001 - \$100,000           \$100,001 - \$1,000,000         Over \$1,000,000
X Stock Other (Describe)	X Stock (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

#### SCHEDULE A-1 Investments Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM

IR POLITICAL PRACTICES COMMI

Name

NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
Target Corp		Altria Group Inc
GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Discretionary		Consumer Staples
FAIR MARKET VALUE         \$2,000 - \$10,000       X         \$100,001 - \$1,000,000       Over \$1,000,000		FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT          Image: Stock       Other       (Describe)         Image: Partnership       O Income Received of \$0 - \$499         Image: O Income Received of \$500 or More (Report on Schedule C)		NATURE OF INVESTMENT          X Stock       Other       (Describe)         Partnership       Income Received of \$0 - \$499       )         Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
//// ACQUIRED DISPOSED		//// ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
Molson Coors Brewing Co-B GENERAL DESCRIPTION OF THIS BUSINESS		Royal Dutch Shell PLC A-Adr GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples		Energy
FAIR MARKET VALUE         \$2,000 - \$10,000         \$100,001 - \$1,000,000         Over \$1,000,000		FAIR MARKET VALUE         \$2,000 - \$10,000         \$100,001 - \$1,000,000         Over \$1,000,000
NATURE OF INVESTMENT          X       Stock       Other       (Describe)         Partnership       Income Received of \$0 - \$499       (Other Received of \$500 or More (Report on Schedule C))		NATURE OF INVESTMENT       Image: Stock     Other     (Describe)       Image: Partnership     Income Received of \$0 - \$499       Image: Oncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
ACQUIRED J/		<u>08 / 01 / 13</u> / / ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTITY
Pepsico Incorporated		Philip Morris Intl Inc
GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples		Consumer Staples
FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT		NATURE OF INVESTMENT
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More ( <i>Report on Schedule C</i> )		Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More ( <i>Report on Schedule C</i> )
IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
		///
ACQUIRED DISPOSED		ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Baxter International Inc	Johnson & Johnson
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Health Care
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000       X       \$10,001 - \$100,000	□ \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT           X         Stock         Other	NATURE OF INVESTMENT           X         Stock         Other
(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )	(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Pfizer Inc	Sanofi-Aventis-Adr
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Health Care
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000     X     \$10,001 - \$100,000	S = \$2,000 - \$10,000     S = \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )	(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
JPMorgan Chase & Co	AFLAC Inc
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
<b>\$2,000 - \$10,000 X \$10,001 - \$100,000</b>	X \$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More ( <i>Report on Schedule C</i> )	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More ( <i>Report on Schedule C</i> )
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: \_

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Metlife	PNC Financial Services Group
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Financials
FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT          X       Stock       Other       (Describe)         Partnership       Income Received of \$0 - \$499       Oncome Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT          X       Stock       Other       (Describe)         Partnership       Income Received of \$0 - \$499       Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	///// ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Travelers Cos Inc GENERAL DESCRIPTION OF THIS BUSINESS	Wells Fargo & Company GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Financials
FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	
NATURE OF INVESTMENT         ▼       Other         Other       (Describe)         Partnership       Income Received of \$0 - \$499         O       Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT         ▼ Stock       Other         (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
///// ACQUIRED DISPOSED	//// ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Cisco Systems Inc	Twain Semiconductor-SP ADR
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Technology	Technology
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT     X   Other   (Describe)	NATURE OF INVESTMENT     X   Other   (Describe)
□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )	Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More ( <i>Report on Schedule C</i> )
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//// ACQUIRED DISPOSED	<u>01 / 17 / 14</u> / ACQUIRED DISPOSED

Comments: \_

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
AT&T	AT&T Inc Senior Global Notes
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Telecommunications	Telecommunications
FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT         ▼       Other         Other       (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock          Stock       X       Other       Corporate       Bond         (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//// ACQUIRED DISPOSED	//
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Bank of America Corp Sr Notes GENERAL DESCRIPTION OF THIS BUSINESS	Countrywide Fin Corp (BAC) GENERAL DESCRIPTION OF THIS BUSINESS
Banks	Banks
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE          X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT         Stock       Image: Corporate Bond (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT         Stock       Image: Corporate Bond (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>09 / 12 / 13</u> <u>10 / 22 / 13</u> ACQUIRED DISPOSED	//
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
DirectTV Holdings Sr Notes	Intel Corporation
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Information Technology
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         \$2,000 - \$10,000         \$100,001 - \$1,000,000         Over \$1,000,000
NATURE OF INVESTMENT Stock S Other Corporate Bond (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT          Image: Stock       Other       (Describe)         Image: Partnership       O Income Received of \$0 - \$499       O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//	//

Comments: \_

►

►

Comments:

NAME OF BUSINESS ENTITY

#### **SCHEDULE A-1** Investments

**CALIFORNIA FORM** 

FAIR POLITICAL PRACTICES COMMISSION

Name

Whalen, James E

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

► NAME OF BUSINESS ENTITY

Do not attach brokerage or financial statements.

Merck & Company Inc	Time Warner Cable
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Consumer Discretionary
FAIR MARKET VALUE         \$2,000 - \$10,000       X \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE          X       \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT       Image: Stock     Other     (Describe)       Image: Partnership     O Income Received of \$0 - \$499       Image: Oncome Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT          X       Stock       Other       Other         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//	//
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Hewlett-Packard Co Global GENERAL DESCRIPTION OF THIS BUSINESS	Xerox Corp Senior Notes GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT         Stock       Other       Corporate Bond         (Describe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock Stock Other Corporate Bond (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>10 / 22 / 13</u> / / ACQUIRED DISPOSED	<u>10 / 29 / 13</u> / / ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Transocean LTD	Nucor Corporation
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Energy	Materials
FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	FAIR MARKET VALUE         X \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
NATURE OF INVESTMENT       X     Stock     Other     (Describe)       Partnership     Income Received of \$0 - \$499	NATURE OF INVESTMENT X Stock Other □ Partnership ○ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
///// ACQUIRED DISPOSED	///// ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

►	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY
	Applied Materials Inc		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	Technology		
	FAIR MARKET VALUE		FAIR MARKET VALUE
	X \$2,000 - \$10,000		\$2,000 - \$10,000 \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT		NATURE OF INVESTMENT
	X Stock Other		Stock Other
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499		
	Income Received of \$500 or More (Report on Schedule C)		O Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	////		/
	ACQUIRED DISPOSED		ACQUIRED DISPOSED
	NAME OF BUSINESS ENTITY	Π	► NAME OF BUSINESS ENTITY
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	FAIR MARKET VALUE		FAIR MARKET VALUE
	\$2,000 - \$10,000 \$10,001 - \$100,000		\$2,000 - \$10,000 \$10,001 - \$100,000
	\$100,001 - \$1,000,000         Over \$1,000,000		S100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT		NATURE OF INVESTMENT
	Stock Other		Stock Other
	(Describe)		(Describe)
	Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	I AT LIGADLE, LIGT DATE.		I AITEIGADE, EIGT DATE.
	ACQUIRED DISPOSED		ACQUIRED DISPOSED
	NAME OF BUSINESS ENTITY	Π	► NAME OF BUSINESS ENTITY
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	GENERAL DESCRIPTION OF THIS DUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS
	FAIR MARKET VALUE		FAIR MARKET VALUE
	\$2,000 - \$10,000 \$10,001 - \$100,000		\$2,000 - \$10,000 \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT		NATURE OF INVESTMENT
	Stock Other		Stock Other (Describe)
	Describe)		(Describe) Partnership ◯ Income Received of \$0 - \$499
	Income Received of \$500 or More (Report on Schedule C)		☐ Income Received of \$500 or More ( <i>Report on Schedule C</i> )
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:
	////		////
	ACQUIRED DISPOSED		ACQUIRED DISPOSED

Comments: \_

# SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700

Name

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
J. Whalen Associates, Inc.	East County Renewable Coalition
Name	Name
San Diego, CA 92108-2820	San Diego, CA 92108-2820
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one	Check one
Trust, go to 2 X Business Entity, complete the box, then go to 2	Trust, go to 2 I Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consulting Firm	Advocacy Group
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$2,000 - \$10,000	x \$2,000 - \$10,000
\$10,001 - \$100,000         ACQUIRED         DISPOSED           X         \$100,001 - \$1,000,000         \$100,001         \$100,001	□ \$10,001 - \$100,000 ACQUIRED DISPOSED □ \$100,001 - \$1,000,000
Over \$1,000,000	Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Partnership Sole Proprietorship X Corp Shareholder	Partnership X Sole Proprietorship
Other	Other
YOUR BUSINESS POSITION Director, President	YOUR BUSINESS POSITION Executive Director
▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA	▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA
SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)	SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)
S0 - \$499 X \$10,001 - \$100,000	∑ \$0 - \$499 □ \$10,001 - \$100,000
□ \$500 - \$1,000 □ OVER \$100,000	□ \$500 - \$1,000 □ OVER \$100,000
LJ \$1,001 - \$10,000	
3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)	► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
None	X None
Building Industry Association	
The Newland Group	
	ĺ ·
► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR
LEASED BY THE BUSINESS ENTITY OR TRUST	LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST
Check one box:	Check one box:
□ INVESTMENT X REAL PROPERTY	
Name of Business Entity, if Investment, or	Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property	Assessor's Parcel Number or Street Address of Real Property
San Diego	
Description of Business Activity <u>or</u>	Description of Business Activity <u>or</u>
City or Other Precise Location of Real Property	City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$2,000 - \$10,000	\$2,000 - \$10,000
X       \$100,000	\$10,001 - \$100,000
Over \$1,000,000	☐ \$100,001 - \$1,000,000 Field OLD
NATURE OF INTEREST	NATURE OF INTEREST
	Property Ownership/Deed of Trust Stock Partnership
Leasehold X OtherAdditional Office	Leasehold Other
Yrs. remaining	Yrs. remaining
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached
Comments:	FPPC Form 700 (2013/2014) Sch. A-2 FPPC Advice Email: advice@fppc.ca.gov

081300033-NFH-0033

#### SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Whalen, James E

1. INCOME RECEIVED	► 1. INCOME RECEIVED
NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME
T. Malan Basadahan Tan	
J. Whalen Associates, Inc. ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
San Diego, CA 92108-2820	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
Real Estate Consulting	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
Director, President	
□ \$500 - \$1,000 □ \$1,001 - \$10,000	<b>5500 - \$1,000 \$1,001 - \$10,000</b>
□ \$10,001 - \$100,000 X OVER \$100,000	S10,001 - \$100,000 OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	CONSIDERATION FOR WHICH INCOME WAS RECEIVED
X Salary Spouse's or registered domestic partner's income	Salary Spouse's or registered domestic partner's income
Loan repayment Partnership	Loan repayment Partnership
Sale of	Sale of
(Real property, car, boat, etc.)	(Real property, car, boat, etc.)
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
Other	Other
(Describe)	(Describe)
▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE	RIOD
* You are not required to report loans from commercial	lending institutions, or any indebtedness created as part of
	e lender's regular course of business on terms available to
	tatus. Personal loans and loans received not in a lender's
regular course of business must be disclosed as follow	
regular course of business must be disclosed as follow	ws.
NAME OF LENDER*	INTEREST RATE TERM (Months/Years)
ADDRESS (Business Address Acceptable)	% None
	SECURITY FOR LOAN
	None Personal residence
BUSINESS ACTIVITY, IF ANY, OF LENDER	
	Real Property
HIGHEST BALANCE DURING REPORTING PERIOD	Street address

\$500 - \$1,000

\$1,001 - \$10,000
\$10,001 - \$100,000

OVER \$100,000

(Describe)

Guarantor \_\_\_\_\_

Other \_

City

Comments: \_\_\_\_

Additional Sources of Income of \$10,000 or more for J. Whalen Associates, Inc.:

The Newland Group