Please type or print in ink.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS COVER PAGE

Date Initial Filing Received Official Use Only

> E-Filed 03/30/2016 11:05:03

Filing ID: 159972583

NAME OF FILER	(LAST)	(FIRST)		(MIDDLE)
Whalen, James E				
1. Office, Agency, or	Court			
Agency Name (Do not us	se acronyms)			
CITY OF SAN DIEGO				
Division, Board, Departme	nt, District, if applicable	Your Po	sition	
Planning Commissio	n	Commi	ssioner	
► If filing for multiple posi	tions, list below or on an attachment.	(Do not use acronyms)		
Agency:		Position	:	
2. Jurisdiction of Off	ice (Check at least one box)			
State		☐ Judge	or Court Commissioner (Sta	atewide Jurisdiction)
Multi-County		County	y of	
X City ofSan Die				
3. Type of Statement	(Check at least one box)			
December 3	covered is January 1, 2015, throug 31, 2015		ng Office: Date Left ck one)	
-or- The period December	covered is/, threads, 2015		ne period covered is Januaving office.	uary 1, 2015, through the date of
Assuming Office: D	ate assumed//		ne period covered is leaving office.	/, through the date
Candidate: Election	Year and offic	e sought, if different than Part	1:	
4. Schedule Summary	(must complete) > Total	number of pages inclu	uding this cover page	14
Schedules attached	. ,	number of pages men	duling this cover page	·
X Schedule A-1 - /	nvestments – schedule attached	X Schedule C	: - Income. Loans. & Busine	ess Positions – schedule attached
X Schedule A-2 - /	nvestments - schedule attached		• Income – Gifts – schedu	
Schedule B - Re	al Property - schedule attached	□ Schedule E	- Income - Gifts - Travel	Payments – schedule attached
-or-				
☐ None - No repor	table interests on any schedule	9		
5. Verification				
MAILING ADDRESS (Business or Agency Address Re	STREET commended - Public Document)	CITY	STATE	ZIP CODE
DAYTIME TELEPLIQUE NUMBER		San Diego	CA	92108-2820
DAYTIME TELEPHONE NUMBER	₹	E-MAIL ADDRESS		
have used all researchie	diligence in propering this statement	I have reviewed this stateme	ant and to the heat of my kn	vauladae the information contained
	diligence in preparing this statement. d schedules is true and complete. I			lowledge the information contained
I certify under penalty of	f perjury under the laws of the Sta	te of California that the fore	egoing is true and correct	i.
Date Signed _03/30/20	16 (month, day, year)	Signature	es E Whalen (File the originally signed statem	nent with your filing official.)
			,	,

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

Total SA Spon Adr GENERAL DESCRIPTION OF THIS BUSINESS
GENERAL DESCRIPTION OF THIS BUSINESS
Energy
FAIR MARKET VALUE X \$2,000 - \$10,000
NATURE OF INVESTMENT X Stock Other(Describe) Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule Co
IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY
ConocoPhillips
GENERAL DESCRIPTION OF THIS BUSINESS
_
Energy
FAIR MARKET VALUE \$\overline{\text{X}}\$2,000 - \$10,000 \$100,000 \$100,000 Over \$1,000,000
ANATURE OF NUMERICA
NATURE OF INVESTMENT X Stock Other
(Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY
schlumberger LTD
GENERAL DESCRIPTION OF THIS BUSINESS
Energy
FAIR MARKET VALUE X \$2,000 - \$10,000
NATURE OF INVESTMENT X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C,
IF APPLICABLE, LIST DATE:
_03 / 13 / 15 / /

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

Freeport - McMoran Copper	International Paper Co
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Materials	Materials
FAIR MARKET VALUE	FAIR MARKET VALUE
	\$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT X Stock Other	NATURE OF INVESTMENT Stock Other
(Describe)	(Describe)
Partnership Oncome Received of \$0 - \$499 Oncome Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	_06
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Nucor Corporation	Boeing Company
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Materials	Industrials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	☐ \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe) Partnership O Income Received of \$0 - \$499	(Describe) Partnership () Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 04 / 15 / 15	07 / 21 / 15
/	07 / 31 / 15 / ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Electric Company	United Parcel Service- CL B
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrials	Industrials
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \textbf{X} \$10,001 - \$100,000	
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT X Stock	NATURE OF INVESTMENT X Stock
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
McDonalds Corp	Target Corp
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Discretionary	Consumer Discretionary
	FAIR MARKET VALUE
X \$2,000 - \$10,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 01 / 30 / 15	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Altria Group Inc	Molson Coors Brewing Co-B
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples	Consumer Staples
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 \[\overline{X} \] \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) Partnership () Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	/ / 09 / 17 / 15
ACQUIRED DISPOSED	
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	Philip Morris Intl Inc
Pepsico Incorporated GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples	Consumer Staples
FAIR MARKET VALUE	FAIR MARKET VALUE
<u>X</u> \$10,000 <u>X</u> \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	☐ (Describe) ☐ Partnership () Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
II /II LIO/IBLE, LIOT B/IIL.	

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY
Baxter International Inc
GENERAL DESCRIPTION OF THIS BUSINESS
Health Care
FAIR MARKET VALUE X \$2,000 - \$10,000
NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
MAME OF BUSINESS ENTITY Lilly Eli & Company GENERAL DESCRIPTION OF THIS BUSINESS Health Care
FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
► NAME OF BUSINESS ENTITY
AFLAC Inc
GENERAL DESCRIPTION OF THIS BUSINESS
Financials
FAIR MARKET VALUE \$\times \text{\$2,000 - \$10,000}
NATURE OF INVESTMENT Stock Other (Describe)
□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
IF AFFLICABLE, LIST DATE.

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
JPMorgan Chase & Co	Metlife
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 \$10,001 - \$100,000	X \$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other(Describe)	X Stock Other(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
PNC Financial Services Group	Synchrony Financial
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other(Describe)	X Stock Other(Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)	☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Travelers Cos Inc	Wells Fargo & Company
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
	☐ \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
— (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	☐ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
Applied Materials Inc	Cisco Systems Inc	
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
Technology	Technology	
FAIR MARKET VALUE \$\tilde{\mathbb{X}} \\$2,000 - \$10,000	FAIR MARKET VALUE \$\times\$ \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	
NATURE OF INVESTMENT Stock Other (Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
/		
NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY	
Microchip Technology Inc GENERAL DESCRIPTION OF THIS BUSINESS	Qualcomm Inc GENERAL DESCRIPTION OF THIS BUSINESS	
Information Technologies FAIR MARKET VALUE X \$2,000 - \$10,000	Information Technology FAIR MARKET VALUE X \$2,000 - \$10,000	
NATURE OF INVESTMENT X Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
/		
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
Twain Semiconductor-SP ADR	Xilinx Inc	
GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTION OF THIS BUSINESS		
Technology	Information Technologies	
FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000	FAIR MARKET VALUE X \$2,000 - \$10,000	
NATURE OF INVESTMENT Stock Other (Describe)	NATURE OF INVESTMENT Stock Other (Describe)	
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
	/	
Comments:	ı	

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
AT&T	Verizon Communications
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Telecommunications	Telecommunications Services
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \(\overline{X} \) \$10,001 - \$100,000	□ \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	_05 / 13 / 15/
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Altera Corp SR Global Notes GENERAL DESCRIPTION OF THIS BUSINESS	Expedia Inc SR Global Notes 7.456% GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 ↓ \$100,001 - \$100,000 ↓ \$100,001 - \$1,000,000 ☐ Over \$1,000,000	X \$2,000 - \$10,000 \$100,001 - \$100,000 S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Corporate Bond	Stock X Other Corporate Bond
(Describe) Partnership () Income Received of \$0 - \$499	(Describe) Partnership () Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF PHONESO ENTITY	NAME OF PHONEOU FATTY
NAME OF BUSINESS ENTITY Ford Motor Credit Co Sr Notes 8.125%	► NAME OF BUSINESS ENTITY General Electric Cap Corp 5.625%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE	FAIR MARKET VALUE
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bond	NATURE OF INVESTMENT ☐ Stock
	Guest Guiet
(Describe) Partnership () Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Motors Finance Sr Glbl 6.75%	Hewlett-Packard Co Global 2.65%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial Corp Bonds	Industrial
	FAIR MARKET VALUE
X \$2,000 - \$10,000	
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock X Other Corporate Bond
(Describe)	(Describe)
Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
_11 / 03 / 15/	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Omnicom Group Inc Sr Notes 5.9%	Xerox Corp Senior Notes 6.4%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Industrial
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Corporate Bond	Stock X Other Corporate Bond
(Describe) Partnership () Income Received of \$0 - \$499	(Describe) Partnership () Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	/ / 10 / 16 / 15
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Xerox Corp SR Global Notes 2.95%	Capital One Financial Sub Note 6.15%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial Corporate Bonds	Finance Corporate Bonds
FAIR MARKET VALUE	FAIR MARKET VALUE
x \$2,000 - \$10,000	X \$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock X Other Corporate Bonds
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
10 / 16 / 15	00 / 10 / 15
	02 / 18 / 15 /
VOROIIVED DIOLOGED	YORGINED DISPOSED
Comments:	

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Bear Stearns Cos (JPM) Sr Glbl 7.250%	Citigroup Inc SR Glbl Notes 2.65%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks	Banks-Corporate Bonds
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	X \$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bond	NATURE OF INVESTMENT Stock X Other Corporate Bonds
Stock X Other Corporate Bond (Describe)	Stock X Other COTPOTAGE BOILDS (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	_11 / 13 / 15/
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Morgan Stanley Sr Global Mtn 6% GENERAL DESCRIPTION OF THIS BUSINESS	Citigroup Inc Sr Global Notes 4.45% GENERAL DESCRIPTION OF THIS BUSINESS
Financial	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Corporate Bond (Describe)	Stock X Other Corporate Bond (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/01 / 13 / 15	/ / 11 / 06 / 15
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Citigroup Inc Sr Global Notes 2.65%	Goldman Sachs Group Inc Senr 7.5%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks-Corp Bonds	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT □ Stock ③ Other Corporate Bond
Stock X Other Corporate Bonds (Describe)	Stock X Other Corporate Bolid (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>11 / 13 / 15</u> /	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
Comments:	

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Merrill Lynch SR Glbl Mtn 6.4%	Merrill Lynch SR Glbl Mtn 7.3%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks-Corp Bonds	Banks-Corp Bonds
	FAIR MARKET VALUE
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
_	
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock X Other Corporate Bonds
Stock X Other COSPOSACE BOILES (Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
02 / 05 / 15 / /	_04 / 23 / 15/
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other	Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	<u> </u>
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other	Stock Other
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
Comments:	

Comments:_

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

	FORM 700 ACTICES COMMISSION
Name	
Whalen, James	E

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
James E Whalen	East County Renewable Coalition
Name	Name
San Diego, CA 92108-2820	
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one ☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2	Check one ☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2
Business Entity, complete the box, then go to 2	I must, go to 2 A Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate Development Consulting	Advocacy Group
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$0 - \$1,999 \$2,000 - \$10,000	\$\big \big \\$ \\$ 0 - \$1,999 \\ \big \big \\$ \\$ 2,000 - \$10,000 \\ \big \b
\$10,001 - \$100,000 ACQUIRED DISPOSED	\$10,001 - \$100,000 ACQUIRED DISPOSED
X \$100,001 - \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
Over \$1,000,000	│
NATURE OF INVESTMENT Partnership Sole Proprietorship X Corporate	NATURE OF INVESTMENT Partnership X Sole Proprietorship
Other	Other
YOUR BUSINESS POSITION Director, President	YOUR BUSINESS POSITION Executive Director
► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)	► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA
	SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
□ \$0 - \$499 □ \$10,001 - \$100,000 □ \$500 - \$1,000 □ \$ OVER \$100,000	
\$1,001 - \$10,000	\$1,001 - \$10,000
None or	X None or Names listed below
► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST Check one box:	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST Check one box:
☐ INVESTMENT	I INVESTMENT REAL PROPERTY
Name of Business Folia, if Investment on	Nove of Purious Falls, if Investment or
Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Consulting	
Description of Business Activity or City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$2,000 - \$10,000	\$2,000 - \$10,000
X \$10,001 - \$100,000	\$100,001 - \$100,000/
Over \$1,000,000	Over \$1,000,000
NATURE OF INTEREST	NATURE OF INTEREST
Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold X Other Additional Office	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name	
Whalen, James E	

1. INCOME RECEIVED	► 1. INCOME RECEIVED
NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME
J. Whalen Associates, Inc.	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
San Diego, CA 92108-2820	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
Real Estate Development Consulting	VOLUE BLIGHTESS BOSITION
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
Director, President	
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000 ☐ \$10,001 - \$100,000 ☐ \$10,001 - \$100,000 ☐ \$100,000	\$500 - \$1,000
S 510,001 - \$100,000	
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	CONSIDERATION FOR WHICH INCOME WAS RECEIVED
X Salary Spouse's or registered domestic partner's income	Salary Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.) Partnership (Less than 10% ownership. For 10% or greater use	(For self-employed use Schedule A-2.) Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)	Schedule A-2.)
Sale of(Real property, car, boat, etc.)	Sale of(Real property, car, boat, etc.)
Loan repayment	☐ Loan repayment
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
(Describe)	(Describe)
Other(Describe)	Other(Describe)
► 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PER	,
* You are not required to report loans from commercial le retail installment or credit card transaction, made in the	ending institutions, or any indebtedness created as part of a e lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's
NAME OF LENDER*	INTEREST RATE TERM (Months/Years)
	% None
ADDRESS (Business Address Acceptable)	_
	SECURITY FOR LOAN
BUSINESS ACTIVITY, IF ANY, OF LENDER	☐ None ☐ Personal residence
	Real Property
HIGHEST BALANCE DURING REPORTING PERIOD	Street address
\$500 - \$1,000	City
\$500 - \$1,000 \$1,001 - \$10,000	_
	City Guarantor
\$1,001 - \$10,000	Guarantor
\$1,001 - \$10,000 \$10,001 - \$100,000	
\$1,001 - \$10,000 \$10,001 - \$100,000	Guarantor
\$1,001 - \$10,000 \$10,001 - \$100,000	Guarantor

SCHEDULE D Income – Gifts

Whalen, James E

► NAME OF SOURCE (Not an Acronym)	► NAME OF SOURCE (Not an Acronym)
Mark Brencick	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
San Diego, Ca 92121	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
Engineering	
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
09 / 06 / 15 \$ 94.00 Padres Baseball Ticket	\$
	\$
	\$
▶ NAME OF SOURCE (Not an Acronym)	► NAME OF SOURCE (Not an Acronym)
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
	\$
	\$
	\$
► NAME OF SOURCE (Not an Acronym)	► NAME OF SOURCE (Not an Acronym)
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)	DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)
	\$
Comments:	·