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CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS COVER PAGE

Date Initial Filing Received Official Use Only

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Filing ID: 178763525

NAME OF FILER	(LAST)		(FIRST)		(MIDDLE)
Whalen, James	E				
1. Office, Ager	ncy, or Court				
Agency Name	(Do not use acronyms)				
CITY OF SAN	DIEGO				
Division, Board,	Department, District, if applicable		Your Position		
Planning Co	mmission		Commission	er	
► If filing for mu	ltiple positions, list below or on an attachmen	t. (Do not us	e acronyms)		
Agency:			Position:		
2. Jurisdiction	of Office (Check at least one box)				
☐ State			☐ Judge or Cou	rt Commissioner (State	ewide Jurisdiction)
☐ Multi-County			County of		
X City of	San Diego		Other		
	tement (Check at least one box)				
X Annual:The	e period covered is January 1, 2018, throu	gh	Leaving Off	ice: Date Left	l
Dec	cember 31, 2018		_	(Check one	
-or- Th	ne period covered is/, th	rough	O The peri	iod covered is Janua	ry 1, 2018, through the date
D	ecember 31, 2018		leaving offi	ice.	
Assuming (Office: Date assumed/	-	The periodof leaving		, through the date
Candidate:	Date of Election and offi	ce sought, if o	lifferent than Part 1:		
4. Schedule Su	ımmary (must complete) ▶ _{Tota}	l number (of pages including	this cover page:	15
Schedules a					
X Schedu	ile A-1 - Investments – schedule attached		X Schedule C - Inco	ome, Loans, & Busines	ss Positions – schedule attached
X Schedu	ile A-2 - Investments - schedule attached			me – Gifts – schedule	
☐ Schedu	lle B - Real Property - schedule attached		Schedule E - Inco	me – Gifts – Travel P	ayments - schedule attached
-or-					
□ None - /	No reportable interests on any schedu	le			
5. Verification					
MAILING ADDRESS (Business or Agency	STREET Address Recommended - Public Document)	CITY		STATE	ZIP CODE
		San I	Diego	CA	92108-2820
DAYTIME TELEPHO	NE NUMBER		E-MAIL ADDRESS		
()					
	easonable diligence in preparing this statemen by attached schedules is true and complete. I				wledge the information contained
I certify under	penalty of perjury under the laws of the Sta	ate of Califor	rnia that the foregoing	is true and correct.	
Date Signed _0	4/01/2019	(Signature <u>James E</u>	Whalen	
Date Signed	(month, day, year)	•	File	e the originally signed paper sta	tement with your filing official.)

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Motors Finance CO GLBL 4.200%	Goldman Sachs Inc SR GLBL FRN 2.48064%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
_	
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock X Other Corporate Bonds
Stock X Other Corporate Bolids (Describe)	Stock X Other COTPOTATE BOILDS (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
11 / 01 / 18 / / 18	/ / 18 01 / 02 / 18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Qualcomm Inc	JP Morgan C4/22@100 FXD/FLT 2.776%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Information Technology	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
NATURE OF INVESTMENT X Stock Other	NATURE OF INVESTMENT Stock X Other Corporate Bonds
(Describe)	(Describe)
Partnership Oncome Received of \$0 - \$499 Oncome Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
Income Received of \$500 of More (Report on Schedule C)	Income Received of \$500 of More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Motors Financial Corp 3.500%	Morgan Stanley Frn, 2.76476%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Banks-Corp Bonds
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 S10,001 - \$100,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Corporate Bonds	Stock X Other Corporate Bonds
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
	some recented of \$600 of more (report on obligation
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
04 / 04 / 18 07 / 16 / 18	
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Activis Funding SCS (Allergan) 2.35%	Intel Corporation
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Information Technology
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 \$10,001 - \$100,000	
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF BUILDING	NATURE OF UNIFORMED
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT X Stock Other
(Describe)	(Describe)
Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Travelers Cos Inc	Sempra Energy
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Utilities
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	04 / 24 / 18 / / 18
ACQUIRED DISPOSED	04 / 24 / 18 // 1 8 ACQUIRED DISPOSED
	NAME OF BUSINESS ENTITY
Walgreen Boots Alliance Inc. GENERAL DESCRIPTION OF THIS BUSINESS	DowDupont Inc GENERAL DESCRIPTION OF THIS BUSINESS
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples	Materials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule	Partnership Oncome Received of \$0 - \$499 Oncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
06 / 11 / 18 / / 18	/ /18 01 / 02 / 18
06 / 11 / 18 / / 10 ACQUIRED DISPOSED	
	11

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
KLA-Tencor Corp MWC@+35BP 4.125%	Goldman Sachs Inc SR GLBL FRN 3.61800%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
INdustrial	Banks
FAIR MARKET VALUE X \$2,000 - \$10,000	FAIR MARKET VALUE X \$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	NATURE OF INVESTMENT Stock X Other Corporate Bonds (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	01 / 02 / 18 // 1 8 ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Lilly Eli & Company GENERAL DESCRIPTION OF THIS BUSINESS	Intl Lease Finance Corp Sr Nts, 6.25% GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Finance
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000 S10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT Stock X Other Corporate Bonds
X Stock Other (Describe)	Stock X Other Corporate Bonds (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
_01 /_02 /_18// 18	/ /18 01 / 22 / 18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Pfizer Inc	AT&T
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Telecommunications
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	/ /18 / /18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
'	

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
JP Morgan Chase GBL FXD-To-FLT 3.559%	Morgan Stanley FRN 3ML+140BP 3.88738%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock X Other Corporate Bonds
(Describe)	(Describe)
Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
_07	_10
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Amgen Inc	Altria Group Inc
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Consumer Staples
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
— (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) Partnership (Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
Lockheed Martin Corporation	Energy Transfer PRTNRS C11/22 3.600%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Materials	Industrial
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	Stock X Other Corporate Bonds
(Describe) Partnership (Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>09 / 28 / 18</u> // 18	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
	• •

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
CitiGroup Inc.	Bristol-Myers Squibb Co
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Health Care
FAIR MARKET VALUE	FAIR MARKET VALUE
	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT X Stock	NATURE OF INVESTMENT
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
06 / 29 / 18 / / 18	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
schlumberger LTD	Metlife
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Energy	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
∑ Stock Other(Describe)	X Stock Other
Partnership () Income Received of \$0 - \$499	☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
Total SA Spon Adr	General Mills Incorporated
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Enorgy	Consumer Staples
Energy 	Consumer Staples
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other(Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
// <u>18</u> // <u>18</u> _	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
'	•

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Bank of America FRN 3ML+100BP 2.364%	Bank of America FXD/FLT C04/22 2.881%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock X Other Corporate Bonds
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C	Partnership Olncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
// 18 05 / 11 / 18	_05 / 11 / 18// 18_
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
OMNICOM GROUP	Abbvie Inc
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Discretionary	Health Care
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 S10,001 - \$100,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
— (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) Partnership (Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C	
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	/ /18 / /18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Blackrock Inc GENERAL DESCRIPTION OF THIS BUSINESS	Royal Dutch Shell PLC A-Adr
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Financials	Energy
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 S10,001 - \$100,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
NATURE OF INVESTMENT X Stock Other	NATURE OF INVESTMENT X Stock Other
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C	Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
	(Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
	••

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Verizon Communications	Carnival Corporation
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Telecommunications Services	Consumer Discretionary
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
☐ (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//18//18_	<u>06 / 12 / 18</u> / / 18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Philip Morris Intl Inc	Chevron Corp
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples	Energy
FAIR MARKET VALUE	FAIR MARKET VALUE
	[X] \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
(Describe)	(Describe)
Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//18/_18_	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Johnson & Johnson	International Paper Co
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Health Care	Materials
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
NATURE OF INVESTMENT X Stock Other	NATURE OF INVESTMENT Stock Other
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

	I IA FORM PRACTICES CO	
Name		
Whalen, Ja	mes E	

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Twain Semiconductor-SP ADR	Morgan Stanley
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Technology	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000 S10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	09 / 27 / 18 / / 18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Intl Lease Finance Corp SR NTS 5.875%	Suntrust Banks Inc.
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Finance	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT
Stock X Other COTPOTAGE BOILDS (Describe)	X Stock Other (Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>01 / 11 / 18</u> // 18 _	/ /18 06 / 29 / 18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Phillips 66	Wells Fargo & Company
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Energy	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	\$2,000 - \$10,000 X \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	X Stock Other
— (Describe) ☐ Partnership ○ Income Received of \$0 - \$499	☐ (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
• Coo Attached	

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Boeing Company	Morgan Stanley MWC@+15BP 3.737%
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrials	Banks
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000 \$10,001 - \$100,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other	Stock X Other Corporate Bonds
☐ (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) Partnership () Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	09 / 05 / 18 / _ / 18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Microsoft Corp	Cisco Systems Inc
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Information Technology	Information Technology
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
X Stock Other(Describe)	X Stock Other(Describe)
Partnership O Income Received of \$0 - \$499	Partnership () Income Received of \$0 - \$499
☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	☐ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Citigroup SR Notes 2.700%	United Parcel Service- CL B
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Banks	Industrials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ <u>/18</u> / <u>/18</u>	
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
General Electric Co Senior NTS 4.375%	JPMorgan Chase & Co
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	Financials
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	X \$2,000 - \$10,000 S10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock X Other Corporate Bonds	NATURE OF INVESTMENT X Stock Other
(Describe)	(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)	Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
_11	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Microsoft Corp Senior GLBL NTS 2.875%	
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Industrial	
FAIR MARKET VALUE	FAIR MARKET VALUE
X \$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock X Other Corporate Bonds	☐ Stock ☐ Other
(Describe) Partnership () Income Received of \$0 - \$499	☐ (Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
○ Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 18 05 / 17 / 18	/ /18 / /18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
TV III OF BOOKESO ENTITY	TO TO MILE OF BOOKINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE	
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock Other	NATURE OF INVESTMENT Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 18 , , 18	/ / /18 / /18
ACQUIRED DISPOSED	ACQUIRED DISPOSED
ı ı	I

Schedule A-1 comment:

On Page3, schedule A-1, the Lilly Eli & Company has a partial disposal in 2017 but there was a balance left between 2k-10K.

For Goldman Sachs Group Inc Sr 3.61800%, the corporate bond was purchased in 2017 but was mistakenly not added as we thought the percentages changed.

Comments:_

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
J. Whalen Associates, Inc.	
Name	Name
San Diego, CA 92108-2820 Address (Business Address Acceptable) Check one	Address (Business Address Acceptable) Check one
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2	☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate Development Consulting	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999 \$2,000 - \$10,000 ACQUIRED DISPOSED DISPOSED Over \$1,000,000 NATURE OF INVESTMENT IF APPLICABLE, LIST DATE: 18
Partnership Sole Proprietorship X Other YOUR BUSINESS POSITION Director, President	Partnership
➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST) □ \$0 - \$499 □ \$10,001 - \$100,000 □ \$500 - \$1,000 ☑ OVER \$100,000 □ \$1,001 - \$10,000	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST) □ \$0 - \$499 □ \$10,001 - \$100,000 □ \$500 - \$1,000 □ OVER \$100,000 □ \$1,001 - \$10,000
➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or ∑ Names listed below Baldwin & Sons	➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or Names listed below
Gayl Hynek	
The Gildred Companies	
► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>By</u> the business entity or trust
Check one box:	Check one box:
☐ INVESTMENT X REAL PROPERTY	☐ INVESTMENT ☐ REAL PROPERTY
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property Consulting	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity or City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000 10,001 - \$100,000 100,001 - \$1,000,000 ACQUIRED DISPOSED Over \$1,000,000
NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold X Other Additional Office	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

Additional Single Sources of Income of \$10,000 or more for J. Whalen Associates, Inc.

Hamann Companies
HomeFed Fanita Rancho, LLC
Ikon, Ltd.
Sager-Escondido Annexation
SDG&E
Indie Law Group, APC
Elliot Megdal & Associates
GDCI Proctor Valley, LP
Good Earth Plants Company LLC
Newland Group, Inc
Clean Focus Renewables Inc
Haagen-Empire Ranch
Raintree Residential LLC
Pacific Hospitality Group
Urban Corps

SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Whalen, James E

J. Whalen Associates, Inc. ADDRESS (Business Address Acceptable)	NAME OF SOURCE OF INCOME
ADDRESS (Business Address Acceptable)	
	ADDRESS (Business Address Acceptable)
San Diego, CA 92108-2820	<u> </u>
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
Real Estate Development Consulting	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
Director, President	
GROSS INCOME RECEIVED No Income - Business Position Only	GROSS INCOME RECEIVED No Income - Business Position O
\$500 - \$1,000 \$1,001 - \$10,000	\$500 - \$1,000 \$1,001 - \$10,000
■ \$10,001 - \$100,000	S10,001 - \$100,000 OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	CONSIDERATION FOR WHICH INCOME WAS RECEIVED
X Salary Spouse's or registered domestic partner's income	Spouse's or registered domestic partner's income
For self-employed use Schedule A-2.) Partnership (Less than 10% ownership. For 10% or greater use	(For self-employed use Schedule A-2.) Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)	Schedule A-2.)
Sale of (Real property, car, boat, etc.)	Sale of(Real property, car, boat, etc.)
Loan repayment	Loan repayment
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
	<u></u>
	(Describe)
(Describe)	(Describe)
(Describe) Other	Other
Other	Other(Describe)
Other	Other
2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follows:	Other (Describe) al lending institution, or any indebtedness created as part of the lender's regular course of business on terms available to tatus. Personal loans and loans received not in a lender's
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER*	Other (Describe) al lending institution, or any indebtedness created as part of the lender's regular course of business on terms available to tatus. Personal loans and loans received not in a lender's ws:
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER*	Other (Describe) al lending institution, or any indebtedness created as part of the lender's regular course of business on terms available to tatus. Personal loans and loans received not in a lender's ws: INTEREST RATE TERM (Months/Years)
* You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	Other
* You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	Other
* You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE * You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD	Other
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE * You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000 \$1,001 - \$10,000	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE * You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000	Other
Other	Other
Other(Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE	Other(Describe)
Other	Other
* You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	Other
* You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	Other
* You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE * You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE * You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD	Other
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000	Other
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000	Other
Other (Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PE * You are not required to report loans from a commercia a retail installment or credit card transaction, made in members of the public without regard to your official st regular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000 \$1,001 - \$10,000	Other
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in members of the public without regard to your official stregular course of business must be disclosed as follow NAME OF LENDER* **ADDRESS (Business Address Acceptable)* **BUSINESS ACTIVITY, IF ANY, OF LENDER** **HIGHEST BALANCE DURING REPORTING PERIOD* \$500 - \$1,000 \$1,001 - \$10,000	Other