ELECTRONICALLY FILED Superior Court of California, County of San Diego 1 Nicholas J. Ferraro (State Bar No. 306528) Lauren N. Vega (State Bar No. 306525) 05/22/2023 at 02:14:00 PM 2 Ferraro Vega Employment Lawyers, Inc. Clerk of the Superior Court 3160 Camino del Rio South, Suite 308 By Mary Kaneshiro Deputy Clerk 3 San Diego, California 92108 (619) 693-7727 main / (619) 350-6855 fax 4 nick@ferrarovega.com / lauren@ferrarovega.com 5 Rick Waltman (State Bar No. 306463) 6 Rick Waltman Law, APC 501 West Broadway, Suite 800 7 San Diego, California 92101 (619) 320-5666 8 rick@rickwaltmanlaw.com 9 Attorneys for Plaintiff Jon Wood 10 11 SUPERIOR COURT OF THE STATE OF CALIFORNIA 12 FOR THE COUNTY OF SAN DIEGO 13 14 JON WOOD et al. Case No. 37-2021-00053035-CU-OE-CTL 15 Plaintiff, Hon. Carolyn Caietti 16 Dept. 70 VS. 17 **CLASS ACTION** 2018HMO LLC DBA HIKEI MODERN 18 CANNABIS et al. Notice of Final Approval Order and Entry of Judgment 19 Defendants. 20 Action Filed: December 20, 2021 21 22 23 24 25 26 27 28

Notice of Final Approval Order and Entry of Judgment

Exhibit A May 17, 2023 **Order and Judgment Granting Final Approval**

Notice of Final Approval Order and Entry of Judgment

[Proposed] Order Granting Final Approval of Class Action Settlement and Attorneys' Fees and Costs and Entering Judgment

This matter came on for hearing on April 14, 2023, at 10:30 a.m. in Department 70 of the above-captioned Court, the Honorable Carolyn Caietti presiding, on (1) Plaintiff's Motion for Final Approval of Class Action Settlement and (2) Plaintiff's Motion for Attorneys' Fees and Costs.

Having received and considered the motions and supporting papers, including the Class Action

Having received and considered the motions and supporting papers, including the Class Action Settlement Agreement ("Settlement"), attached as Exhibit 1 to the Declaration of Rick Waltman, the evidence and documents received by the Court in connection with the Motions for Final Approval and Attorneys' Fees and Costs, and the Motion for Preliminary Approval of Class Action Settlement, the Court GRANTS FINAL APPROVAL of the Settlement and ORDERS AND MAKES THE FOLLOWING DETERMINATIONS:

- 1. Pursuant to the Order Granting Preliminary Approval of Class Action Settlement on December 9, 2022, and as confirmed by the declaration provided by the appointed Settlement Administrator, Phoenix Settlement Administrators, a Class Notice was sent to each Class Member by first-class U.S. mail. The Class Notice informed the Class of the terms of the Settlement, including the \$350,000 Gross Settlement Amount, their right to receive a settlement payment without any required action, their right to comment upon or object to the Settlement, and their right to appear in person or by counsel at the Final Approval Hearing and to be heard regarding approval of the Settlement. Adequate periods of time were provided for each of these procedures.
- 2. No Class Member returned a written objection to the proposed Settlement as part of the notice process or stated an intention to appear at the final approval hearing and none appeared at hearing. No Class Member requested exclusion from the Settlement.
- 3. The Court finds and determines the Class Notice and associated procedure afforded adequate protections to Class Members and provides the basis for the Court's informed decision regarding approval of the Settlement based on responses of the Class. The Court finds and determines the notice provided was the best notice practicable, satisfying the requirements of law and due process.
- 4. For purposes of approving this Settlement only, this Court finds and concludes: (a) the proposed Class is ascertainable and so numerous that joinder of all members of the class is impracticable; (b) there are questions of law or fact common to the proposed Class, and there is a well-defined community of interest among members of the proposed Class with respect to the subject matter

Class Representatives are qualified and adequate to serve as Class Counsel in this action.

5. The Court approves, for purposes of this settlement order and judgment, the Class defined as: "all individuals currently or formerly employed by Hikei in the State of California as hourly, non-exempt employees at any time during the Class Period." The Court further approves, for purposes of this settlement order and judgment, "Class Period" is defined as December 20, 2017, through September 27, 2022 and/or the PAGA Period of December 14, 2020, through September 27, 2022.

- 6. The Court finds and determines the terms set forth in the Settlement, including the Gross Settlement Amount of \$350,000, are fair, reasonable, and adequate and, having found the Settlement was reached as a result of informed and non-collusive arms'-length negotiations facilitated by a neutral and experienced mediator, directs the Parties to effectuate the Settlement according to its terms. The Court further finds the Parties conducted extensive investigation, research, and informal discovery, and that their attorneys were able to reasonably evaluate their respective positions. The Court also finds that Settlement will enable the Parties to avoid additional and potentially substantial litigation costs, as well as delay and risks if the Parties were to continue to litigate the case. The Court has reviewed the monetary recovery and recognizes the significant value provided to the Class.
- 7. The Court further finds and determines the terms of the Settlement are fair, reasonable and adequate to the Class and to each Class Member and that the Settlement of the Class claims is ordered finally approved, and that all terms and provisions of the Settlement should be and are ordered.
- 8. The Court finds and determines the individual payments to be paid to Class Members as provided for by the Settlement are fair and reasonable. The Court orders the payment of those amounts be made to the Class Members in accordance with the Settlement.

- 9. The Court finds and determines the fees and expenses in administering the Settlement incurred by Phoenix Settlement Administrators of \$8,950.00 are fair and reasonable. The Court orders the settlement administration costs be paid in accordance with the terms of the Settlement.
- 10. The Court finds and determines the Service Awards of \$12,500 to Plaintiff Wood as fair and reasonable. The Court orders the service awards be paid in accordance with the terms of the Settlement.
- 11. The Court finds and determines that the proposed settlement of the claims brought under the Private Attorneys General Act ("PAGA"), Cal. Labor Code Sections 2698 et. seq., is fair and reasonable, and the payment to the California Labor and Workforce Development Agency of \$12,000 as its share of the Settlement of civil penalties under the Private Attorneys General Act is fair, reasonable, and appropriate. The parties provided notice to the Labor and Workforce Development Agency ("LWDA") and will fully and adequately comply with the notice requirements of California Labor Code Section 2699(l). The Court orders that amount be paid in accordance with the terms of the Settlement.
- 12. Pursuant to the terms of the Settlement, and the authorities, evidence and argument submitted by Class Counsel, the Court awards Class Counsel attorneys' fees of \$116,666.67 and litigation costs of \$12,147.50. The Court finds such amounts to be fair and reasonable. The Court orders the Settlement Administrator to make these payments in accordance with the Settlement.
- 13. As of the Effective Date, all Class Members shall be deemed to have released the Released Parties from all Released Class Claims and Released PAGA Claims, as defined in the Settlement. All Class Members, as of the Effective Date, are hereby forever barred and enjoined from prosecuting the Released Class Claims and Released PAGA Claims against the Released Parties.
- 14. In addition, as of the Effective Date, Plaintiff and the State of California, and all Aggrieved Employees shall be deemed to have fully, finally and forever released and discharged the Released Parties from all claims for PAGA penalties that were alleged, or reasonably could have been alleged, based on the PAGA period facts stated in the Operative Complaint and the PAGA Notice, and could have been ascertained in the course of the Action, and as further specified in the Settlement. Thus, as of the Effective Date, Plaintiff, the State of California, and all Aggrieved Employees are

hereby forever barred and enjoined from prosecuting such claims under PAGA against the Released Parties.

- 15. Without affecting the finality of this Order or the entry of judgment in any way, the Court retains jurisdiction of all matters relating to the interpretation, administration, implementation, and enforcement of this Order and the Settlement.
- 16. Neither Defendants nor any related persons or entities shall have any further liability for costs, expenses, interest, attorneys' fees, or any other charge, expense, or liability, except as provided for by the Settlement.
- 17. Nothing in this Order shall preclude any action to enforce the Parties' obligations under the Settlement or under this Order, including the requirement that Defendant make payments to Class Members in accordance with the Settlement.
- 18. The Court hereby ENTERS FINAL JUDGMENT in accordance with the terms of the Settlement, in accordance with the Court's Preliminary Approval Order, and in accordance with this Final Approval Order and Judgment.
- 19. The Parties will comply with Cal. Rules of Court Rule 3.771(b), by filing a Notice of Entry of Judgment with the Court.

IT IS SO ORDERED.

Date: 5/17/23

The Honorable Carolyn Caietti Judge of the Superior Court

Exhibit B Minute Order

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO CENTRAL

MINUTE ORDER

DATE: 05/17/2023 TIME: 08:30:00 AM DEPT: C-70

JUDICIAL OFFICER PRESIDING: Carolyn Caietti

CLERK: Anthony Shirley

REPORTER/ERM:

BAILIFF/COURT ATTENDANT: J. Martinez

CASE NO: 37-2021-00053035-CU-OE-CTL CASE INIT.DATE: 12/20/2021

CASE TITLE: WOOD vs 2018HMO LLC [E-FILE]

EVENT TYPE: Ex Parte

APPEARANCES

Rick A. Waltman, counsel, present for Plaintiff(s). Lara P. Besser, counsel, present for Defendant(s).

Plaintiff's Ex Parte Application for Court to Sign Final Proposed Order and Judgment

Having reviewed the proposed order and after speaking with counsel, the Court signs the proposed order.

DATE: 05/17/2023 MINUTE ORDER Page 1
DEPT: C-70 Calendar No. 4